FORM OBD - 67 JAN 1977

# UNITED STATES DEPARTMENT OF JUSTICE WASHINGTON, D.C. 20530



#### EXHIBIT A

### TO REGISTRATION STATEMENT

Under the Foreign Agents Registration Act of 1938, as essended

| Furnish this exhibit<br>and for EACH          | for EACH foreign principal lists additional foreign principal acqu | d in an initial statem<br>ired subsequently.   | ont .               |  |
|---|--|--|---------------------|--|
| 300 East 42nd Stree<br>New York, N.Y. 100     |  | •  | 2. Registration No. |  |
| 3. Name of foreign principal  AIR-INDIA       | Nariman Poir   | <ul> <li>Principal address of foreign principal<br/>Nariman Point<br/>Bombay, India</li> </ul> |                     |  |
| 5. Indicate whether your foreign princi       | pal is one of the following type:                                  |  | <del></del>         |  |
| Foreign government                            |  |  |                     |  |
| Foreign political party                       |  | *ソー <u>に</u><br>・  |                     |  |
| ▼ Foreign or  domestic organi                 | ization: If either, check one of t                                 | he following:  | ;                   |  |
| Partnership                                   | Committee  | •  | Ċ                   |  |
|   | ☐ Voluntary group  | چو چې  |                     |  |
| Association                                   | Other (specify)  |  | - <u>.</u> .        |  |
| Individual - State his nationality            | <i></i>  |  |                     |  |
|   |  |  |                     |  |
| 5. If the foreign principal is a foreign      | government, state:   |  | <del></del>         |  |
| a) Branch or agency represented by            | the registrant.  |  |                     |  |
| Air-India USA & Canad<br>345 Park Avenue, New |  |  |                     |  |
| b) Name and title of official with wi         |  |  |                     |  |
| Hari Kaul, Regional D                         | Firector, USA & Canada   |  |                     |  |
| 7. If the foreign principal is a foreign p    | olitical party, state:   |  |                     |  |
| a) Principal address                          |  |  |                     |  |
| b) Name and title of official with wi         | om the registrant deals.   |  |                     |  |
| c) Principal aim                              |  |  |                     |  |
| 3. If the foreign principal is not a foreign  |  | -  |                     |  |
| a) State the nature of the business of        | or activity of this foreign principa                               | 4  |                     |  |

Operation of airline

| b) Is this foreign principal   |   |                                       |
|--|---|---------------------------------------|
| Owned by a foreign government  | nent, foreign political party, or other for   | eign principal Yes 💢 No 🔲             |
| Directed by a foreign gover  | nment, foreign political party, or other fo   | oreign principalYes No                |
|  | ernment, foreign political party, or other  | <del></del>                           |
| Financed by a foreign gover  | nment, foreign political party, or other  | foreign principalYes No               |
| Subsidized in whole by a fo  | reign government, foreign political party   | , or other foreign Yes No             |
| Subsidized in part by a fore   | ign government, foreign political party   | or other foreign                      |
| principal  |   | Yes No                                |
| 9. Explain fully all items answere be used.)   | d "Yes" in Item 8(b). (If additional sp   | ace is needed, a full insert page may |
| Air-India is owned   | by the Government of India.   | The airline is operated               |
| through a Board of   | Directors and non-governmenta   | l management personnel.               |
|  |   |                                       |
| <ol> <li>If the foreign principal is an organization political party or other foreign p</li> </ol> | ganization and is not owned or controlled principal, state who owns and controls in | d by a foreign government, foreign    |
| Date of Exhibit A  | Name and Title  | Signature /                           |
| November 20, 1980  | Albert Van Brunt/President  | Signature July Illus                  |

Form OBD-65 Rev. 4-27-77 (Formerly DJ-304)



## UNITED STATES DEPARTMENT OF JUSTICE Washington, D.C. 20530

#### **EXHIBIT B**

TO REGISTRATION STATEMENT Under the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS: A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements; or, where no contract exists, a full statement of all the circumstances, by reason of which the registrant is acting as an agent of a foreign principal. This form shall be filed in duplicate for each foreign principal named in the registration statement and must be signed by or on behalf of the registrant.

|                |                  | Name of Registrant  | Name of Foreign Principal  |  |  |  |  |  |  |
|----------------|------------------|---|--|--|--|--|--|--|--|
| /AV            | I BRU            | NT & CO. ADVERTISING-MARKETING, INC   | AIR-INDIA  |  |  |  |  |  |  |
| <b>€</b> Sur - | **. *            | Check Appropriate   | Boxes:   |  |  |  |  |  |  |
| 1.             | [[x]]            | written contract. If this box is checked, attach two copies of the contract to this exhibit.  |  |  |  |  |  |  |  |
| 2.             |                  | Two copies of contract enclosed.  There is no formal written contract between the registrant and foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach two copies of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence. |  |  |  |  |  |  |  |
| 3.             | Ö                | The agreement or understanding between the rof neither a formal written contract nor an exclif this box is checked, give a complete descrioral agreement or understanding, its duration, received.  | hange of correspondence between the parties ption below of the terms and conditions of the |  |  |  |  |  |  |
|                |                  |   |  |  |  |  |  |  |  |
|                |                  |   |  |  |  |  |  |  |  |
| 4. [           | Descri<br>Inders | be fully the nature and method of performance canding.  | of the above indicated agreement or  |  |  |  |  |  |  |
|                |                  | The services of Van Brunt & Co. will placing advertisements in magazines, other recognized media. We recommen advertisements, order the space or t  | newspapers, radio and<br>d the media, prepare the  |  |  |  |  |  |  |

5. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

Van Brunt & Co. acts as the advertising agent of Air-India in accordance with the written agreement.

6. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act?1'

Yes [ ] No [x]

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

Date of Exhibit B

November 20, 1980

Name and Title

Albert Van Brunt/President

Signature

Political activity as defined in Section 1(0) of the Act means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

AGREEMENT

VAN BRUNT & COMPANY Advertising-Marketing, Inc.

AIR-INDIA

We are pleased to accept the appointment as the advertising agency for Air-India and look forward to a long, pleasant and mutually profitable association. One of the best foundations for such an association, we believe, is an understanding at the outset of all services to be rendered, areas of responsibility and of all arrangements, including methods of compensation and the details of billing procedures.

We will, of course, devote our best efforts to your interests. In return, you agree to aid us by making available to us needed information and to co-operate in every reasonable way to expedite our work. This is a team operation: client and agency working together.

Agency services will consist of planning, preparing, placing, checking and paying invoices for advertising which you authorize us to place through advertising media, such as consumer and business publications, newspapers, magazines, bill-boards, car cards, radio, television, etc., as well as the planning, writing and production of such collateral services and materials as direct mail, visual sales aids, research, etc., on which you request or authorise us to work.

In consideration for commissions and fees earned, we will render the following specific services:

Advisory. The account will be under the direct supervision of a principal executive officer of Van Brunt & Co., who will keep in close touch at all times with the policies and details of your advertising problems, promotion plans, etc. so as to assure you the full benefits of our specialized knowledge and experience.

Creative. Through its creative staff and under the supervision of a principal, the agency will furnish plans, ideas, rough layouts, story-boards and text for advertising to be placed by it and, on your approval, will initiate and purchase necessary art work and mechanical production.

Purchasing. Based on your approval of these advertising plans, we will contract for magazine, newspaper or other space or time, purchase all required illustrations, engravings, electrotypes, composition and all other mechanical services required for the manufacture of the advertisements. We will forward copy, plates, etc. to the various media, check proofs and insertions, check invoices and, where our records prove their proper execution, bill you for items as authorized by you.

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VAN BRUNT & COMPANY Advertising-Marketing, Inc.

AIR-INDIA

#### Collateral Services

Research. A primary function of the agency is to gain information which will be of value to the preparation of the client's advertising; checking its effectiveness and evaluating its results. This includes the researching of facts and figures on marketing, competitive advertising, etc. basic to advertising copy. The library research is normally at no extra cost to client, except in such cases proposed by you or by us where outside expense is involved and authorized by the client.

There is no charge for research consultation or the interpretation of research information, except under such client-authorized procedures involving special statistical and tabulation work.

If and whenever it is desired by you that we also prepare and handle special market surveys, readership studies, etc., we will do so; supervising and planning and preparation of the studies, including securing estimates for you, and following through on the complete 'production' of the survey questionnaires, mailings, interviews, tabulations, etc., checking costs, handling billings, etc.

Direct Mail, Presentations, Sales Aids, etc. The coordination of selling aids, direct mail, merchandising and space advertising is an important part of every advertising program. As part of our regular services, we will prepare such mailings, booklets, presentations, slide films and motion pictures, merchandising materials, fact files, data sheets, pamphlets, broadsides, catalogs, premiums, etc., called for in the promotion plans proposed by you or by us. Our services will include planning, copy, production, purchasing, supervising outside work, checking invoices, handling billing, etc.

Charges and Billing Procedures. The two fundamental principles on which the client-agency-media financial relationship is based are: First, that the advertising agency shall finance its own services but not the advertising of its clients; second, that the advertising agency is held solely liable by media for payment.

Therefore, as is the usual custom, payments for media bills are due and payable by clients in time for us to meet the discount dates of media, on dates stated on our invoices. So that you will have sufficient time to audit and pay invoices, billing and due dates are as follows:

AGREEHENT

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VAN BRUNT & COMPANY Advertising-Marketing, Inc.

AIR-INDIA

Newspapers. Invoices are rendered by the agency on the last day of each month covering the space ordered for advertisements which appeared during that month. Payments are due in ten days and cash discounts equal to that allowed the agency by the newspapers are offered to the client subject to payment by the due dates indicated on the invoice.

Magazine and Business Publications. Nagazine space is billed in advance, from approved schedules, and payments are to reach the agency on or before the publication's closing date. Cash discounts equal to that allowed the agency by media are offered to the client subject to payment by the due dates indicated on the invoices.

Radio and TV. Radio and Television advertising is billed in advance for both time and talent on the 10th of the current month, and payable on the 20th.

Outdoor and Transportation Advertising. The agency will invoice the last day of the month for the following month's service. Payments are due on or before 10 days from the dates of invoices.

Rates. Where media allows 15% agency commission against card rates, we will bill you, and you agree to pay us, at card rates in effect at the time of purchase. Where less than customary 15% commission is allowed by media, we will bill you at an adjusted figure which gives us a margén equivalent to 15% of the total amount billed to you. Where more than 15% commission is allowed by media, as in Outdoor, we will receive such standard commissions and bill you at card rates in effect at time of purchase. In those exceptional cases where media allow no commission, we will consider this price to be 85 percent of your total cost and add 17.65% to that figure, thus arriving at a commission approximately equal to 15% on the total amount.

If, in any medium with a graduated rate schedule, you should use less space than contracted for, we shall bill you whatever difference there is on the short rate basis. Conversely, if you use more space than contracted for and earn a lower rate, we shall refund or credit you the difference, if any, allowed us by the medium.

Advertising Production. All art work, engravings, typography, electrotyping, mats or other production costs incident to space advertising, will be billed at cost plus 17.65%, an earned commission equivalent to 15%. Postage and shipping costs will be billed at the agency's cost without commission. VAN BRUNT & COMPANY Advertising-Marketing, Inc.

AIR-INDIA

Estimates will be provided in advance on all mechanical jobs.

Job tickets and strict cost accounting procedure, including time charges, are kept on an individual job basis. All charges for art and production will be billed upon completion of the individual jobs. Terms for all production invoices are net ten days. However, when a job extends over an unusual period of time, a schedule of partial payments -- as various phases of the work are completed -- is customarily arranged.

Radio and Television production and other items incident whereto, will be billed to client at agency's net cost, plus earned commissions, equivalent to 15% (17.65%). Client also agrees to pay Van Brunt & Co. a commission equal to at least 15% of the total cost of any talent package or broadcast product purchased by Van Brunt for any radio or television program for which Van Brunt contracts in behalf of the client. It is also understood that in any case where the package price is quoted to the agency at a gross rate, including 15% agency commission, client will be billed at that gross rate, and the agency may retain the full amount of such commission.

Expenses incurred by the agency on the client's account for packing, shipping and delivery; for cable, telegraph and long distance telephone (in instances of abnormal expense); for sales taxes; and for authorized travel will be billed at the agency's cost without commission.

Collateral Projects Production. Direct mail, presentations, films, other printed collateral materials and research will be estimated and billed on a per-job basis. Cost for art and other creative services, such as script writing, will be agreed upon in advance. Production, including such items as printing, photographing, recording, interviewing, tabulating, etc., will be billed at actual cost plus 17.65t. All charges will be billed on completion of the job and terms are net ten days. However, if a special project will run over an extended period of time, a schedule of partial payments is customarily arranged.

Service Pees. Service fees and retainers, if any, will be billed on the first day of each month, in advance, unless otherwise specifically agreed upon. Time charges are based on agreed rates for types of personnel.

Examination of Records. It is understood that you may at any time during the life of this agreement, and upon reasonable notice, examine our files, books and records, pertaining to the handling of your advertising.

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VAN BRUNT & COMPANY Advertising-Marketing, Inc.

AIR-INDIA

#### Other Clauses

Notwithstanding anything elsewhere stated to the contrary, Van Brunt & Co. agrees, in consideration of the mutual promises and covenants made herein, to become and remain familiar with the federal, state, city and other laws, ordinances, and regulations, which impose limitations on Air-India's advertising, as well as all cease and desist orders, assurance of discontinuance agreements, or other similar agreements signed by Air-India, including, but not limited to, the Assurance of Discontinuance Agreement signed on April 24, 1972 by Air-India and the Attorney General for the State of New York, which impose limitations on Air-India's advertising. Should any proposed Air-India advertising covered by the instant agreement conflict with or be in violation of any of the foregoing laws, ordinances, regulations, cease and desist orders, assurance of discontinuance agreements, or other similar agreements imposing limitations on Air-India's advertising, Van Brunt & Co. shall so notify Air-India in writing prior to rublication and in sufficient time for Air-India to correct such advertising. In the event Van Brunt & Co. fails to so notify Air-India, Van Brunt & Co. shall indennify and hold harmless Air-India, its officers, directors, and employees for any resulting fines, penalties, or damages, including reasonable attorneys' fees, which hir-India is required to pay.

The legal responsibility of Van Brunt & Co. to be familiar with and to idemnify and hold Air-India harmless for any fines, penalties, damages, and reasonable attorneys' fees resulting from the breach of the above laws, ordinances, regulations, cease and desist orders, assurance of discontinuance agreements and similar agreements shall be limited to those instances where Air-India has provided written notice to Van Brunt & Co., prior to closing date and release of advertising to publications, of the pertinent laws, regulations, cease and desist orders, assurance of discentinuance agreements, and similar agreements.

Van Brunt & Co. shall not contract for advertising, or hold itself out, as an agent of Air-India.

This contract may not be assigned by either party without the prior written consent of the other party.

The laws of the State of New York shall apply to this agreement.

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VAN BRUNT & COMPANY Advertising-Marketing, Inc.

AIR-INDIA

#### Term of Agreement

While we enter client-agency relationships with the full expectation that they will continue indefinitely without need for further renewal, the usual understanding is that they are non-cancellable for the first three months and that either party is free to cancel at any time thereafter upon ninety (90) days written notice.

In the event of termination of this appointment, it is agreed that the agency will receive its regular commission on all radio and television advertising which is aired during the 90-day notification period, and on all printed media having closing dates during the same period. The agency will bill the advertiser as provided herein for all other advertising materials and services authorized by the advertiser, and procured or performed by the agency.

| This agreement will be    | ecome effective | On 1     |  | <del></del> |       |      |
|---------------------------|-----------------|----------|--|-------------|-------|------|
|                           |                 | Signed:  | VAN BRUNT & COMPANY<br>Advertising-Marketing, Inc. |             |       |      |
|                           |                 | By:      |  |             | 1.1.1 | ille |
|                           |                 | Title:   |  |             |       |      |
|                           |                 | Date:    |  | 1 1         | 17:11 |      |
| Accepted: AIR-INDIA By: . | Ru              | <u>_</u> |  |             |       |      |
| Title:                    | RousA           | Nu       | -<br>~ a   |             |       |      |
| Date of Acceptance:       | Zu              | 4 15     | -<br>-74   | •           |       |      |